1001 "I" Street Sacramento, CA 95814

Transport Refrigeration Unit Airborne Toxic Control Measure Third Party Agreement Confirmation Form for Contractors/Consultants

This form may be used by a transport refrigeration unit (TRU) or TRU generator set owner to delegate the TRU Airborne Toxic Control Measure (ATCM) responsibilities for equipment registration to a third party contractor or consultant. Compliance and registration requirements are defined in the California Air Resources Board (ARB) TRU ATCM (Title 13, California Code of Regulations, section 2477). Delegation of the responsibility for registration does not release the owner of the equipment from any of the responsibilities of ownership as defined in the TRU ATCM. The owner is expected to ensure that their equipment is in compliance and that compliance information has been properly conveyed to ARB by the third party. The third party (contractor/consultant) shall submit to the owner a copy of the ARB Equipment Registration (ARBER) TRU Registration Confirmation. (Note: "Owner" is defined in the TRU ATCM and excludes banks and financial institutions.)

Owners/operators of TRUs and TRU generator sets (gen sets) operating in California are required to meet the in-use performance standards defined in the TRU ATCM on a phased-in compliance schedule beginning December 31, 2008 (See TRU Advisory 08-15 for grace period information). Owners/operators of all California-based TRUs and TRU gen sets are also required to apply for ARB Identification Numbers (IDN) and submit Operator Reports by January 31, 2009 (delayed until March 16, 2009).

Owners may delegate to a third party (contractor/consultant) the responsibilities for IDN application submittal. Responsibility for compliance with in-use performance standards and operator report cannot be delegated to another party. Only one party can be delegated responsibility for IDN application per this confirmation form.

This form must be submitted to ARB at least 10 days prior to applying for an IDN using ARB's online equipment registration system (ARBER) (http://www.arb.ca.gov/arber/arber.htm.)

Instructions:

- 1. Complete sections A, B, and C (below) and obtain the responsible official's signature. A federal tax identification number (EIN) is required for each party involved in the delegation of responsibility.
- 2. Submit this form to ARB by mail or email (as described below) and retain a copy for your records.
- 3. Provide a copy of this form to the delegated third party (contractor/consultant).

By signing this form the owner agrees to the terms and conditions set forth above. If the owner chooses to sever this agreement, he/she must contact ARB directly.

A. Provide Unique Identification Numbers for Each Piece of Equipment (each row is a separate piece of equipment):

| Item | Unit Serial Number (from the unit label) | Unique Company Equipment Number | Vehicle License Plate No. | Vehicle Identification Number (VIN) |
|------|---|------------------------------------|------------------------------|-------------------------------------|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |
| 13 | | _ | | |
| 14 | | | | |

| 12 | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| 13 | | | | | | | | | |
| 14 | | | | | | | | | |
| Additional equipment may be added to a separate sheet. | | | | | | | | | |
| TOTAL NUMBER OF TRU AND TRU GEN SETS LISTED WITH THIS AGREEMENT: | | | | | | | | | |

STATE OF CALIFORNIA CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY AIR RESOURCES BOARD SSD/EAB FORM #31 1001 "I" Street Sacramento, CA 95814

Transport Refrigeration Unit Airborne Toxic Control Measure Third Party Agreement Confirmation Form for Contractors/Consultants

| B. Owner of Eq | uipment: | | | | _ | |
|--|--|--|--|--|--|--|
| Name of Compa | any: | EIN: | | | | |
| Address: | | | | • | | |
| City: | | | State: | Zip | | |
| Name (last, first, | , m.i.) of Contact Person | : | • | ' | | |
| Contact Person | 10-digit Phone Number: | | Email Address: | | | |
| C. Delegated T | hird Party (Contractor/ | Consultant): | | | | |
| Name of Compa | any: | | | EIN: | | |
| Address: | | | | _ | | |
| City: | | | State: | Zip | | |
| Name of Contac | et Person (last, first, m.i.) | : | • | • | | |
| Contact Person | 10-digit Phone Number: | | Email Address: | | | |
| Printed Name of Upon receipt of ton-line TRU regi information, and accuracy of the ior 888-878-2826 entering the IDN | f Equipment Owner ¹ this agreement, ARB will istration system. The del providing the owner with information submitted to 5 to obtain or verify regis I, VIN, or vehicle license | Signature of Equipment send a confirmation email legated third party shall be a copy of the ARBER TR ARB. The owner of the extration information. The ownumber on the Public According | Owner Date of the owner and delegate responsible for registering U Registration Confirmation of the owner may also access ARE ess page of the website. | agreement confirmation for the tree of third party with instruction of the TRU, completing all control of the TRU owners shall be rethis form) may contact ARB BER and view equipment control of the ARBER website addressal | ons for accessing the compliance esponsible for the stat 888-TRU-ATCM compliance status by series. | |
| Mail: | CALIFORNIA AIR RE SSD/ARBER TRU REGISTRATION 1001 I STREET – P.O SACRAMENTO, CA | PROGRAM . BOX 2815 | Email: <u>tru</u> | ı@arb.ca.gov | | |

X:\ARBER\TRU Program\Documents\TRU Forms\tru_3rd_party_consult_form5-11-09.doc

Responsible Officials are the individuals employed or otherwise retained by the owner that has authority to certify the legal agreement described above is in effect and the responsibilities for compliance with the TRU ATCM are delegated to the third party contractor/consultant.